SMOOTH MANIFOLDS FALL 2023 - HOMEWORK 8

SOLUTIONS

Problem 1. Find an area form ω on S^2 such that for any rotation about some axis in \mathbb{R}^3 , $R : S^2 \to S^2$, we have that $R^*\omega = \omega$. Prove the invariance property, and show that this volume form is unique up to positive scalar multiple.

[*Remarks*: You may use the natural coordinates for the tangent spaces as subspaces of \mathbb{R}^3 (ie, you may write down a form on \mathbb{R}^3 and restrict it to S^2 to construct the form). You may use the fact that rotations around the coordinate axes generate the group of all rotations.]

Solution 1. We claim that the 2-form $\omega = x \, dy \wedge dz - y \, dx \wedge dz + z \, dx \wedge dy$ is invariant under all rotations. The rotations are generated by the vector fields

$$X_{1} = y \frac{\partial}{\partial x} - x \frac{\partial}{\partial y}$$
$$X_{2} = z \frac{\partial}{\partial x} - x \frac{\partial}{\partial z}$$
$$X_{3} = y \frac{\partial}{\partial z} - z \frac{\partial}{\partial y}$$

We will show that $\mathcal{L}_{X_i}\omega = 0$. We verify this for X_1 , the equations for X_2 and X_3 follow similarly:

$$d\omega = 3 dx \wedge dy \wedge dz$$

$$\iota_{X_1} d\omega = 3y dy \wedge dz + 3x dx \wedge dz$$

$$\iota_{X_1} \omega = (-y^2 dz + yz dy) - (x^2 dz - xz dx)$$

$$= xz dx + yz dy - (x^2 + y^2) dz$$

$$d\iota_{X_1} \omega = x dz \wedge dx + y dz \wedge dy - 2y dy \wedge dz - 2x dx \wedge dz$$

$$= -3x dx \wedge dz - 3y dy \wedge dz$$

$$\mathcal{L}_{X_1} \omega = \iota_{X_1} d\omega + d\iota_{X_1} \omega$$

$$= 0.$$

The calculation for \mathcal{L}_{X_2} and \mathcal{L}_{X_3} is analogous. To see that ω is unique up to scalar multiple, fix any point $x_0 \in S^2$ and let β be any other 2-form invariant under all rotations R. Then there exists a unique c > 0 such that $\beta(x_0) = c\omega(x_0)$, since dim $\Lambda^2(T_{x_0}) = {2 \choose 2} = 1$. If $x \in S^2$ is any other point, there exists a rotation R such that $R(x) = x_0$. Then

$$\beta(x)(v_1, v_2) = R^* \beta(x)(v_1, v_2) = \beta(R(x))(dR(v_1), dR(v_2)) = \beta(x_0)(dR(v_1, dR(v_2)))$$
$$= c\omega(x_0)(dR(v_1), dR(v_2)) = (R^{-1})^* \omega(x_0)(dR(v_1), dR(v_2)) = c\omega(x)(v_1, v_2)$$

Hence $\beta = c\omega$ as 2-forms.

Solution 2. With ω as in Solution 1, we verify $R^*\omega = \omega$ directly for rotations about the z-axis

$$R = \begin{pmatrix} \cos\theta & -\sin\theta & 0\\ \sin\theta & \cos\theta & 0\\ 0 & 0 & 1 \end{pmatrix}$$

Written as a function,

$$R(x, y, z) = (x \cos \theta - y \sin \theta, x \sin \theta + y \cos \theta, z).$$

Then

$$R^*\omega(x, y, z)(v_1, v_2) = \omega(x\cos\theta - y\sin\theta, x\sin\theta + y\cos\theta, z)(Rv_1, Rv_2).$$

Where Rv_1 denotes R acting as a matrix. It follows that

$$R^*\omega = (x\cos\theta - y\sin\theta) d(x\sin\theta + y\cos\theta) \wedge dz - (x\sin\theta + y\cos\theta) d(x\cos\theta - y\sin\theta) \wedge dz + z d(x\cos\theta - y\sin\theta) \wedge d(x\sin\theta + y\cos\theta)$$

Gathering like terms, we see that the $dx \wedge dz$ term is coefficient to

 $(x\cos\theta - y\sin\theta)\cdot\sin\theta - (x\sin\theta + y\cos\theta)\cdot\cos\theta = -y(\sin^2\theta + \cos^2\theta) = -y.$

The $dy \wedge dz$ coefficient is

$$(x\cos\theta - y\sin\theta)\cdot\cos\theta + (x\sin\theta + y\cos\theta)\cdot\sin\theta = x(\cos^2\theta + \sin^2\theta) = x.$$

The $dx \wedge dy$ term is

$$z[\cos\theta\cdot\cos\theta - (-\sin\theta\cdot\sin\theta)] = z(\cos^2\theta + \sin^2\theta) = z$$

It follows that $R^*\omega = \omega$. The proof of uniqueness follows as in Solution 1.

Problem 2.

(1) Prove the following convenient formula for the exterior derivative of a 1-form α , where X and Y are vector fields on M. Note that if $f \in C^{\infty}(M)$, then $X \cdot f$ denotes the derivative of f along the vector field X.

$$d\alpha(X,Y) = X \cdot (\alpha(Y)) - Y \cdot (\alpha(X)) - \alpha([X,Y])$$

[*Hint*: Any 1-form is locally a linear combination of forms of the form $u \, dv$ for $u, v \in C^{\infty}$. Evaluate both side of the desired equality for such forms.]

(2) Use this to find a formula for $\mathcal{L}_X \alpha(Y)$, where X and Y are C^{∞} vector fields and α is a 1-form on M. Think magically!

Solution. Consider a form $\alpha = u \, dv$. Then $d\alpha = du \wedge dv$, so

$$d\alpha(X,Y) = du(X)dv(Y) - du(Y)dv(X) = (X \cdot u)(Y \cdot v) - (Y \cdot u)(X \cdot v)$$

On the other hand,

$$\begin{aligned} X \cdot (\alpha(Y)) &= X \cdot (u \cdot (Y \cdot v)) \\ &= (X \cdot u) \cdot (Y \cdot v) + u \cdot (XYv) \\ -Y \cdot (\alpha(X)) &= -Y \cdot (u \cdot (X \cdot v)) \\ &= -(Yu) \cdot (Xv) - u \cdot (YXv) \\ -\alpha([X,Y]) &= -u(XYv - YXv) \end{aligned}$$

Adding each of these terms shows the desired equality. Finally,

$$\mathcal{L}_X \alpha(Y) = \iota_X d\alpha(Y) + d(\iota_X \alpha)(Y)$$

= $X \cdot (\alpha(Y)) - Y \cdot (\alpha(X)) - \alpha([X, Y]) + Y \cdot (\alpha(X))$
= $X \cdot (\alpha(Y)) - \alpha([X, Y]).$

Problem 3. Fix a 2*n*-dimensional manifold M. Recall that a 2-form ω is called *symplectic* if $d\omega = 0$ and the *n*-fold wedge product of ω is a volume form on M.

- (1) Show that a closed 2-form ω is symplectic if and only if for every $x \in M$ and nonzero vector $X \in T_x M$, there exists $Y \in T_x M$ such that $\omega(X, Y) \neq 0$.
- (2) Show that if α is any 1-form on M, there exists a unique vector field X_{α} such that $\iota_{X_{\alpha}}\omega = \alpha$.

Solution.

(1) First assume that ω is symplectic, so that $\omega^{\wedge n} := \omega \wedge \omega \cdots \wedge \omega$ is nonzero. Then for every nonzero vector field $X \in T_X M$, $\iota_X \omega^{\wedge n} \neq 0$, since every vector can be extended to a basis, which evaluates to a nonzero number on every top form. By induction, we claim that

(†)
$$\iota_X \omega^{\wedge n} = n(\iota_X \omega) \wedge (\omega^{\wedge n-1})$$

Indeed, the base case of n = 1 follows immediately. Then assuming the formula for n - 1,

$$\iota_X \omega^{\wedge n} = \iota_X (\omega \wedge \omega^{\wedge n-1}) = \iota_X \omega \wedge \omega^{\wedge n-1} + \omega \wedge (\iota_X \omega^{\wedge n-1})$$
$$= (\iota_X \omega) \wedge \omega^{\wedge n-1} + \omega \wedge ((n-1)(\iota_X \omega) \wedge \omega^{\wedge n-2}) = n(\iota_X \omega) \wedge \omega^{\wedge n-1}$$

By (†), it follows that since $\iota_X \omega^{\wedge n} \neq 0$, $\iota_X \omega \neq 0$. Hence the nondegeneracy condition follows.

Now, we assume that ω satisfies the nondegeneracy condition, and prove that that $\omega^{\wedge n} \neq 0$. We prove this by finding a basis $\{X_1, Y_1, \ldots, X_n, Y_n\}$ of $T_x M$ such that $\omega^{\wedge n}(X_1, Y_1, \ldots, X_n, Y_n) = 1$. We do this by inductively showing that we may find $\{X_1, Y_1, \ldots, X_k, Y_k\}$ such that for every pair of basis elements V, W,

(I1)
$$\omega(V, W) = 0 \text{ unless } V = X_i, W = Y_i \text{ for some } i = 1, \dots, k$$

and

(I2)
$$\omega(X_i, Y_i) = 1 \text{ for all } i = 1, \dots, k$$

The base case of k = 1 is exactly the nondegeneracy condition described, after rescaling Y as $\frac{Y}{\omega(X,Y)}$. So we assume we have chosen $\{X_1, Y_1, \ldots, X_k, Y_k\}$ and seek to find X_{k+1} and Y_{k+1} . Define the following linear map from $T_x M$ to itself:

$$\phi_x^{(k)}: T_x M \to T_x M \qquad \phi_x^{(k)}(v) = v + \left(\sum_{i=1}^k \omega(v, X_i) Y_i - \omega(v, Y_i) X_i\right)$$

We claim that $\phi_x^{(k)}$ is a projection, so that $T_x M = \ker \phi_x^{(k)} \oplus \operatorname{im} \phi_x^{(k)}$ and $\phi_x^{(k)}|_{\operatorname{im} \phi_x^{(k)}} = \operatorname{Id}$. Indeed, observe that by the induction hypotheses (I1) and (I2),

$$\phi_x^{(k)}(X_j) = X_j - X_j = 0 \qquad \phi_x^{(k)}(Y_j) = Y_j - Y_j = 0$$

Hence $H_k := \operatorname{span}_{\mathbb{R}} \{X_1, Y_1, \dots, X_k, Y_k\} \subset \ker \phi_x^{(k)}$. However, if $v \in \ker \phi_x^{(k)}(v)$, then

$$v = \sum_{i=1}^{k} \omega(v, Y_i) X_i - \omega(v, X_i) Y_i$$

so that $v \in H_k$. It follows that ker $\phi_x^{(k)} = H_k$, and the image must be a (2n - 2k)-dimensional subspace transverse to H_k . Next, note that

$$\phi_x^{(k)}(\phi_x^{(v)}) = \phi_x^{(k)}(v + \Sigma) = \phi_x^{(k)}(v)$$

where Σ is the sum in the definition of $\phi_x^{(k)}$ which belongs to its kenrel. Hence $\phi_x^{(k)}$ is the identity on its image.

Finally, if $L_k = \operatorname{im} \phi_x^{(k)}$, we claim that ω is nondegenerate when restricted to L_k . Indeed, fix $X_{k+1} \in L_k$, and assume for contradiction that $\omega(X_{k+1}, v) = 0$ for all $v \in L_k$. Since $T_x M = H_k \oplus L_k$, any $w \in T_x M$ can be written as $w_H + w_L$, where $w_H \in H_k$ and $w_L \in L_k$. Because $X_{k+1} \in L_k$, $\omega(X_{k+1}, X_i) = \omega(X_{k+1}, Y_i) = 0$ (it follows from direct computation that any $X \in L_k = \operatorname{im} \phi_x^{(k)}$ must satisfy this property). Therefore, for any $w \in T_x M$

$$\omega(X_{k+1}, w) = \omega(X_{k+1}, w_L) + \omega(X_{k+1}, w_H) = 0$$

where one vanishing follows from the preceding observation, and the other follows from the contradiction assumption. Since we have contradicted the nondegeneracy of ω on $T_x M$, we conclude that ω must also be nondegenerate on L_k . This allows us to pick two vectors X_{k+1} and Y_{k+1} satisfying the desired properties.

The result follows.

(2) Observe that the nondegeneracy condition implies that the map Ψ : 𝔅(M) → Ω¹(M) defined by Ψ(X) = ι_Xωis a linear isomorphism, where 𝔅(M) denotes the space of vector fields. Indeed, it suffices to show that the map is an isomorphism pointwise. Fixing x ∈ M, notice that the map Ψ_x : T_xM → T^{*}_xM defined by Ψ_x(X)(v) = ω(X, v) is linear and the domain and range have the same dimension. Furthermore, the nondegeneracy condition from the previous problem implies that ker Ψ_x = {0}. Hence Ψ_x is an isomorphism for every x, and Ψ is an isomorphism on the space of sections, with inverse

$$\Psi^{-1}(\alpha)(x) = (\Psi_x)^{-1}(\alpha(x)).$$

The vector field X_{α} is exactly $\Psi^{-1}(\alpha) \in \mathfrak{X}(M).$